



July 20, 2016

**ATF Compliance Advisory Letter: Windward System Five**

**Windward System Five version 6.1.2.15 and up to the current release is compliant with the ATF Ruling 2013-5 dated December 17, 2013.**

A new ATF ruling 2016-3 was issued on April 29, 2016. **Windward System Five is required to be compliant with the new 2016-3 ruling by October 26, 2016.** The software development work on this is already in progress. Windward Software will complete the work in advance of the deadline allowing time for gun industry businesses using bound book to apply the update and remain compliant.

The ATF does not certify compliance of any bound book software solutions on the market. It is the responsibility of the software developer to review the ATF rulings and certify that the software is compliant with the ATF rulings.

Windward Software updates are performed on an ongoing basis to ensure compliance with ATF Rulings. The licensee of Windward System Five is required to have an active maintenance plan with Windward Software and the licensee is required to routinely apply updates to the software to ensure ATF compliance is maintained.

Please be aware that although Windward System Five is compliant with the ATF rulings as stated above, data entry errors, virus infections, and data corruption can occur with any computerized system. These occurrences can cause a registrant not to be in compliance with ATF Rulings. It is the responsibility of the licensee to protect the infrastructure on which the software operates and to ensure users are appropriately trained and versed in ATF rulings and operation of the software. Data entry errors, virus infections, data corruption, back-up failure and user errors that might affect compliance are not the responsibility of Windward Software.

Please consult your Account Manager with any questions or for assistance.

Regards,

*Erna Hansen*

**Chief Operating Officer  
Windward Software Inc.**